IAPD Report

CARL LOFLEY

CRD# 1909210

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

BRENDA DAVIES CLARKE (CRD# 1909218)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/24/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	TRUIST INVESTMENT SERVICES, INC.	CRD# 17499	02/17/2021
IA	TRUIST ADVISORY SERVICES, INC.	CRD# 283390	02/17/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **34** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	BB&T SECURITIES, LLC	142785	RICHMOND, VA	01/02/2013 - 02/17/2021
B	BB&T SECURITIES, LLC	142785	RICHMOND, VA	09/01/2010 - 02/17/2021
IA	SCOTT & STRINGFELLOW, LLC	6255	RICHMOND, VA	09/21/2010 - 01/02/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **34** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **TRUIST INVESTMENT SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 17499

	Regulator	Registration	Status	Date
B	FINRA	General Securities Principal	Approved	02/17/2021
B	FINRA	General Securities Representative	Approved	02/17/2021
B	FINRA	Municipal Securities Principal	Approved	02/17/2021
B	Arizona	Agent	Approved	02/17/2021
B	California	Agent	Approved	02/17/2021
B	Colorado	Agent	Approved	02/17/2021
B	Connecticut	Agent	Approved	02/17/2021
B	Delaware	Agent	Approved	02/17/2021
B	District of Columbia	Agent	Approved	02/17/2021
B	Florida	Agent	Approved	02/17/2021
B	Georgia	Agent	Approved	02/17/2021
B	Louisiana	Agent	Approved	02/17/2021
B	Maine	Agent	Approved	02/17/2021

Qualifications

	Regulator	Registration	Status	Date
B	Maryland	Agent	Approved	02/17/2021
B	Massachusetts	Agent	Approved	02/17/2021
B	Michigan	Agent	Approved	06/24/2022
B	Mississippi	Agent	Approved	02/17/2021
B	Missouri	Agent	Approved	02/17/2021
B	Montana	Agent	Approved	12/20/2022
B	New Hampshire	Agent	Approved	02/15/2022
B	New Jersey	Agent	Approved	02/17/2021
B	New Mexico	Agent	Approved	02/17/2021
B	New York	Agent	Approved	02/17/2021
B	North Carolina	Agent	Approved	02/17/2021
B	Ohio	Agent	Approved	02/23/2023
B	Oregon	Agent	Approved	02/17/2021
B	Pennsylvania	Agent	Approved	02/17/2021
B	South Carolina	Agent	Approved	02/17/2021
B	South Dakota	Agent	Approved	02/17/2021
B	Tennessee	Agent	Approved	02/17/2021
B	Texas	Agent	Approved	01/19/2023
B	Utah	Agent	Approved	02/17/2021

Qualifications

	Regulator	Registration	Status	Date
B	Vermont	Agent	Approved	02/17/2021
B	Virginia	Agent	Approved	02/17/2021
B	Washington	Agent	Approved	02/17/2021
B	West Virginia	Agent	Approved	02/17/2021
B	Wyoming	Agent	Approved	01/19/2023

Branch Office Locations

SUNTRUST INVESTMENT SERVICES, INC.
919 E MAIN ST
FL 5 & 7
RICHMOND, VA 23219

SUNTRUST INVESTMENT SERVICES, INC.
MIDLOTHIAN, VA

Employment 2 of 2

Firm Name: **TRUIST ADVISORY SERVICES, INC.**

Main Address: 303 PEACHTREE STREET
2ND FLOOR
ATLANTA, GA 30303

Firm ID#: 283390

	Regulator	Registration	Status	Date
IA	Louisiana	Investment Adviser Representative	Approved	04/12/2021
IA	Texas	Investment Adviser Representative	Approved	02/03/2023
IA	Virginia	Investment Adviser Representative	Approved	02/17/2021

Branch Office Locations

TRUIST ADVISORY SERVICES, INC.
919 E MAIN ST
FL 5
RICHMOND, VA 23219

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	Municipal Securities Principal Examination (S53)	Series 53	06/28/2005
B	General Securities Principal Examination (S24)	Series 24	01/24/2005

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	08/16/2004

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	09/20/2010
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/11/2004

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/02/2013 - 02/17/2021	BB&T SECURITIES, LLC	CRD# 142785	RICHMOND, VA
B	09/01/2010 - 02/17/2021	BB&T SECURITIES, LLC	CRD# 142785	RICHMOND, VA
IA	09/21/2010 - 01/02/2013	SCOTT & STRINGFELLOW, LLC	CRD# 6255	RICHMOND, VA
B	09/01/2010 - 01/02/2013	SCOTT & STRINGFELLOW, LLC	CRD# 6255	RICHMOND, VA
B	02/02/2009 - 08/05/2010	ADVENT SECURITIES, INC.	CRD# 128281	RICHMOND, VA
B	08/17/2004 - 12/23/2009	COMMUNITY BANKERS SECURITIES, LLC	CRD# 42794	RICHMOND, VA
B	04/17/2007 - 12/31/2008	ADVENT SECURITIES, INC.	CRD# 128281	RICHMOND, VA
B	04/07/1997 - 07/07/1997	COMPULIFE INVESTOR SERVICES, INC.	CRD# 21543	ST. CLOUD, MN
B	07/31/1991 - 08/11/1995	COMPULIFE INVESTOR SERVICES, INC.	CRD# 21543	ST. CLOUD, MN
B	02/27/1991 - 07/16/1991	DEAN WITTER REYNOLDS INC.	CRD# 7556	PURCHASE, NY
B	03/21/1989 - 03/04/1991	LEGG MASON WOOD WALKER, INCORPORATED	CRD# 6555	BALTIMORE, MD

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2021 - Present	TRUIST ADVISORY SERVICES, INC.	ADVISOR	Y	RICHMOND, VA, United States
02/2021 - Present	TRUIST INVESTMENT SERVICES, INC.	FINANCIAL ADVISOR	Y	RICHMOND, VA, United States
01/2013 - 02/2021	BB&T SECURITIES, LLC	Mass Transfer	Y	RICHMOND, VA, United States
08/2010 - 02/2021	SCOTT & STRINGFELLOW, LLC	PRODUCT REPRESENTATIVE	Y	RICHMOND, VA, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.

End of Report

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